

PTO-1390 (Rev. 12-2004)

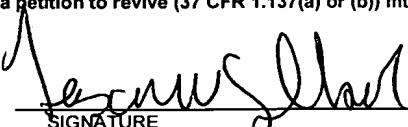
Approved for use through 3/31/2007 OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 3293-01 U.S. APPLICATION NO. (If known, See 37 CFR 1.5) 107518221
INTERNATIONAL APPLICATION NO. PCT/US2003/018720	INTERNATIONAL FILING DATE 13 June 2003	PRIORITY DATE CLAIMED 19 June 2002
TITLE OF INVENTION Fatty Acid Esters of Ethoxylated Alkylglucosides		
APPLICANT(S) FOR DO/EO/US The Lubrizol Corporation et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Form PTO-1449IDS and Foreign & Other cited art; Copy of Notice of Recordation of Assignment Document assigning priority document USN 60/390,074 to The Lubrizol Corporation recorded 1/16/2004</p>		

This collection of information is required by 37 CFR 1.474 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/1518221		INTERNATIONAL APPLICATION NO. PCT/US2003/018720		ATTORNEY'S DOCKET NUMBER 3293-01									
21. The following fees are submitted:													
<input checked="" type="checkbox"/> a) Basic national fee ..		\$300.00											
<input checked="" type="checkbox"/> b) Examination fee ..		\$200.00											
<input checked="" type="checkbox"/> c) Search fee ..		\$500.00											
TOTAL OF ABOVE CALCULATIONS = \$1000.00													
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.													
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE									
- 100 =	/50 =			x \$250.00	\$ 0.00								
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).													
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$								
Total claims	10	- 20 =	x \$50.00		\$								
Independent claims	2	- 3 =	x \$200.00		\$								
MULTIPLE DEPENDENT CLAIM(S) (if applicable)													
TOTAL OF ABOVE CALCULATIONS = \$1,000.00													
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.													
SUBTOTAL = \$1,000.00													
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).													
TOTAL NATIONAL FEE = \$1,000.00													
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property													
TOTAL FEES ENCLOSED = \$1,000.00													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;">Amount to be refunded:</td> <td style="width: 30%; text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="height: 20px;"></td> </tr> <tr> <td>Amount to be charged:</td> <td style="text-align: right;">\$</td> </tr> <tr> <td colspan="2" style="height: 20px;"></td> </tr> </table>						Amount to be refunded:	\$			Amount to be charged:	\$		
Amount to be refunded:	\$												
Amount to be charged:	\$												
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-2275</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-2275</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>													
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.													
SEND ALL CORRESPONDENCE TO: Teresan W. Gilbert, Esq. Patent Dept./Mail Drop 022B The Lubrizol Corporation 29400 Lakeland Blvd. Wickliffe, OH 44092-2298													
 SIGNATURE <u>Teresan W. Gilbert</u> NAME <u>31,360</u> REGISTRATION NUMBER													

10/518221
DT01 Rec'd PCT/PTO 16 DEC 2004

CERTIFICATION UNDER 37 CFR 1.10

Docket No. 3293-01

INTERNATIONAL APPLICATION NO. PCT/US2003/018720

INTERNATIONAL FILING DATE: 13 June 2003

PRIORITY DATE CLAIMED: 19 June 2002

TITLE OF INVENTION: FATTY ACID ESTERS OF ETHOXYLATED
ALKYLGLUCOSIDES

APPLICANTS: THE LUBRIZOL CORPORATION;
BARBEITO, Carmella A.; POLOVSKY, Stuart Barry;
KREEGER, Lowell

EV199736153US
Express Mail mailing number

12/16/04

Date of Deposit

I hereby certify that the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and the documents listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Transmittal Letter (in duplicate)

Copy of Notice of Recordation of Assignment Document assigning priority
document U.S. Patent Application Serial No. 60/390,074 filed 19 June
2002 to The Lubrizol Corporation, Recordation Date: 1/16/2004

Information Disclosure Statement

Form PTO-1449 IDS

Copies of Foreign and "Other" Cited Art listed on Form PTO-1449 IDS
Return Receipt Postcard


Signature of Person Mailing Correspondence

Nancy S. Dedek
Typed or printed name of person mailing correspondence

DEPT. OF STATE PCT/PTO 16 DEG 2000

10/518221

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